

Nov-21-06

12:58

From-Carella Byrne Bain Gilfillan

RECEIVED  
CENTRAL FAX CENTER

T-517 P.001/009 F-317

NOV 21 2006

CARELLA, BYRNE, BAIN, GILFILLAN  
CECCHI, STEWART & OLSTEIN  
5 Becker Farm Road  
Roseland, New Jersey 07068  
(973) 994-1700  
(973) 994-1744 (FAX)

OPERATOR: Janice S

TIME COMPLETED:

EXT. NO.: 524

DATE: November 21, 2006

OUR FILE NO.: 411000-143

NAME

FIRM:

FAX NO.

Filing Receipt Corrections

PTO

(571) 273-8300

FROM: William Squire

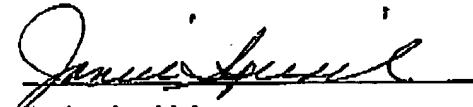
Total Pages Including Transmittal Sheet: 9

## COMMENTS:

Attached is a Request to Correct Filing Receipt for serial no.  
10/562,989

## FACSIMILE CERTIFICATE

I hereby certify that this correspondence is being sent by facsimile  
on the below noted date to, the U.S. Patent and Trademark Office at  
facsimile no. (571) 273-0140.

  
Janice Speidel

Nov. 21, 2006

IF YOU HAVE ANY PROBLEMS WITH THIS TRANSMISSION, OR DO NOT RECEIVE  
THE NUMBER OF PAGES NOTED, PLEASE CONTACT THE FAX OPERATOR AT (973)  
994-1700 (EXT. 211)

**CONFIDENTIALITY NOTE:** The documents accompanying this telecopy transmission  
contain information from the law firm of Carella, Byrne, Bain, Gilfillan, Cecchi, Stewart &  
Olstein, which is confidential and/or legally privileged. The information contained in this  
facsimile is intended only for the use of the individual named above and others who have been  
specifically authorized to receive it. If the one receiving it is not the intended recipient, you are  
hereby notified that any dissemination, distribution or copy of this communication is strictly  
prohibited. If you have received this telecopy in error, please notify us by telephone immediately  
so that we can arrange for the return of the original documents to us at once. Thank you.



Messenger



This will be the only form of  
delivery of this Document

NOV 21 2006

Docket No. 411000-143

Customer No. 27162

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Jurgen Ficker et al.  
Serial No. : 10/562,989 Filed 12/28/2005  
Title : Method and device for patterning organic layers  
Examiner : Not Assigned Art Unit: 1756

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450  
Fax: (571) 273-8300

**REQUEST TO CORRECT FILING RECEIPT**

SIR:

Applicants request that the filing receipt (a copy of which is attached) be corrected.  
as follows:

Power of Attorney from "Raymond Lillie - 31778" to

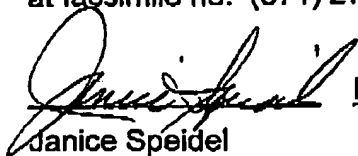
John G. Gilfillan, III - 22746	Raymond Lillie - 31778
Elliot M. Olstein - 24025	Raymond E. Stauffer - 47109
William Squire - 25378	Alan J. Grant - 33389
Francis C. Hand - 22280	G. Glennon Troublefield - 39050

as appointed by the Power of Attorney on the Declaration of this application., copy  
attached.

Please issue a corrected filing receipt as requested above.

**FACSIMILE CERTIFICATE**

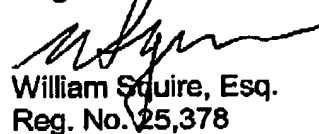
I hereby certify that this  
correspondence is being sent by  
facsimile on the below noted date to,  
the U.S. Patent and Trademark Office  
at facsimile no. (571) 273-8300.

  
Janice Speidel

Nov. 21, 2006

Respectfully submitted,

Jurgen Ficker et al.

  
William Squire, Esq.  
Reg. No. 25,378

Attorney for Applicants  
CARELLA, BYRNE, BAIN, GILFILLAN,  
CECCHI, STEWART & OLSTEIN  
5 Becker Farm Road  
Roseland, NJ 07068  
Tel: (973) 994-1700  
Fax: (973) 994-1744



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/562,989	12/28/2005	1756	900	411000-143	2	14	2

CONFIRMATION NO. 3598

## CORRECTED FILING RECEIPT

10/26/2006 10:30:06 AM  
\*OC000000020998496\*

William Squire  
Carella Byrne Bain Gilfillan Cecchi  
Stewart & Olstein  
5 Becker Farm  
Roseland, NJ 07068

Date Mailed: 10/26/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Jurgen Ficker, Erlangen, GERMANY;  
Walter Fix, Nurnberg, GERMANY;  
Andreas Ullmann, Zimdorf, GERMANY;

## Power of Attorney:

Raymond Lillie-31778

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/DE04/01375 06/30/2004

## Foreign Applications

GERMANY 103 30 062.7 07/03/2003

If Required, Foreign Filing License Granted: 03/23/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/562,989**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

DATE RECEIVED: 10-30-06  
DATE S FILED: \_\_\_\_\_  
1) \_\_\_\_\_  
2) \_\_\_\_\_  
3) \_\_\_\_\_  
4) \_\_\_\_\_  
5) \_\_\_\_\_  
6) \_\_\_\_\_  
DOCKETED BY: 1514  
DATE: 10-30-06

**Title**

Method and device for patterning organic layers

**Preliminary Class**

430

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce Initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PTO/SB/103 (8-96)

Approved for use through 9/30/98, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Declaration and Power of Attorney for Patent Application Erklärung für Patentanmeldungen mit Vollmacht

### German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

daß mein Wohnsitz, meine Postanschrift und meine Staatsangehörigkeit den im nachstehenden nach meinem Namen aufgeführten Angaben entsprechen, daß ich nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent für die Erfindung mit folgendem Titel beantragt wird:

\_\_\_\_\_

\_\_\_\_\_

deren Beschreibung hier beigelegt ist, es sei denn (in diesem Falle Zutreffendes bitte ankreuzen), diese Erfindung

- ☐ wurde angemeldet am \_\_\_\_\_ unter der US-Anmeldenummer oder unter der Internationalen Anmeldenummer im Rahmen des Vertrags über die Zusammenarbeit auf dem Gebiet des Patentwesens (PCT) und am \_\_\_\_\_ abgeändert (falls zutreffend).

Ich bestätige hiermit, daß ich den Inhalt der oben angegebenen Patentanmeldung, einschließlich der Ansprüche, die eventuell durch einen oben erwähnten Zusatzantrag abgeändert wurde, durchgesehen und verstanden habe.

Ich erkenne meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentreifigkeit in Einklang mit Titel 37, Code of Federal Regulations, § 1.56 von Belang sind.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Method and device for patterning  
organic layers

the specification of which is attached hereto unless the following box is checked:

- ☒ was filed on 30/06/2004 as United States Application Number or PCT International Application Number PCT/DE04/01375 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

Page 1 of 4

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

PTO/SB/103 (8-98)

Approved for use through 9/30/98. OMB 0661-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

**German Language Declaration**

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäß Title 35, US-Code, § 119 (a)-(d), bzw. § 365(b) aller unten aufgeführten Auslandsanmeldungen für Patente oder Erfinderrkunden, oder § 365(a) aller PCT internationalen Anmeldungen, welche wenigstens ein Land ausser den Vereinigten Staaten von Amerika benennen, und habe nachstehend durch ankreuzen sämtliche Auslandsanmeldungen für Patente bzw. Erfinderrkunden oder PCT internationale Anmeldungen angegeben, deren Anmeldetag dem der Anmeldung, für welche Priorität beansprucht wird, vorangeht.

Prior Foreign Applications  
(Frühere ausländische Anmeldungen)

103 30 062.7

Germany

(Number)  
(Nummer)

(Country)  
(Land)

(Number)  
(Nummer)

(Country)  
(Land)

Ich beanspruche hiermit Prioritätsvorteile unter Title 35, US-Code, § 119(e) aller US-Hilfsanmeldungen wie unten aufgeführt.

(Application No.)  
(Aktenzeichen)

(Filing Date)  
(Anmeldetag)

(Application No.)  
(Aktenzeichen)

(Filing Date)  
(Anmeldetag)

Ich beanspruche hiermit die mir unter Title 35, US-Code, § 120 zustehenden Vorteile aller unten aufgeführten US-Patentanmeldungen bzw. § 365(c) aller PCT internationalen Anmeldungen, welche die Vereinigten Staaten von Amerika benennen, und erkläre, insofern der Gegenstand eines jeden früheren Anspruchs dieser Patentanmeldung nicht in einer US-Patentanmeldung, bzw. PCT internationalen Anmeldung in in einer gemäß dem ersten Absatz von Title 35, US-Code, § 112 vorgeschriebenen Art und Weise offenbart wurde, meine Pflicht zur Offenbarung jeglicher Informationen an, die zur Prüfung der Patentfähigkeit in Einklang mit Title 37, Code of Federal Regulations, § 1.56 von Belang sind und die im Zeitraum zwischen dem Anmeldetag der früheren Patentanmeldung und dem nationalen oder im Rahmen des Vortrags über die Zusammenarbeit auf dem Gebiet des Patentrewesens (P.T.A.) gültigen internationalen Anmeldetage bekannt geworden sind.

PCT/DE04/01375

30/06/2004

(Application No.)  
(Aktenzeichen)

(Filing Date)  
(Anmeldetag)

(Application No.)  
(Aktenzeichen)

(Filing Date)  
(Anmeldetag)

Ich erkläre hiermit, daß alle in der vorliegenden Erklärung von mir gemachten Angaben nach bestem Wissen und Gewissen der Wahrheit entsprechen, und ferner daß ich diese eidstattliche Erklärung in Kenntnis dessen abgebe, daß wissentlich und vorsätzlich falsche Angaben oder dergleichen gemäß § 1001, Title 18 des US-Code strafbar sind und mit Geldstrafe und/oder Gefängnis bestraft werden können und daß derartige wissentlich und vorsätzlich falsche Angaben die Rechtswirksamkeit der vorliegenden Patentanmeldung oder eines aufgrund deren erteilten Patentes gefährden können.

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed  
Priorität nicht beansprucht

03/07/2003

(Day/Month/Year Filed)  
(Tag/Monat/Jahr der Anmeldung)

(Day/Month/Year Filed)  
(Tag/Monat/Jahr der Anmeldung)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

pending

(Status) (patented, pending, abandoned)  
(Status) (patentiert, schwebend, aufgegeben)

(Status) (patented, pending, abandoned)  
(Status) (patentiert, schwebend, aufgegeben)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

PTO/SB/103 (8-96)

Approved for use through 9/30/98. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

## German Language Declaration

**VERTRETUNGSVOLLMACHT:** Als benannter Erfinder beauftrage ich hiermit den (die) nachstehend aufgeführten Patentanwalt (Patentanwälte) und/oder Vertreter mit der Verfolgung der vorliegenden Patentanmeldung sowie mit der Abwicklung aller damit verbundenen Angelegenheiten vor dem US-Patent- und Markenamt: (Name(n) und Registrationsnummer(n) auflisten)

Postanschrift:

Telefonische Auskünfte: (Name und Telefonnummer)

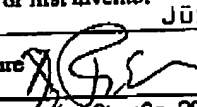
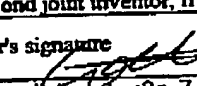
**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (list name and registration number) John N. Bain (Reg. No. 18,631); John C. Gilfillan, III (Reg. No. 22,746); Elliot M. Olstein (Reg. No. 24,025); Raymond J. Lillie (Reg. No. 31,778); William Squire (Reg. No. 25,378); Alan J. Grant (Reg. No. 33,389); Francis C. Hand (Reg. No. 22,280); G. Glemmon Troubleshoot (Reg. No. 39090); and Raymond E. Stauffer (Reg. No. 47,109)

Send Correspondence to:

William Squire

Direct Telephone Calls to: (name and telephone number)

William Squire (973) 994-1700

Vor- und Zuname des einzigen oder ersten Erfinders	Full name of sole or first inventor	Jürgen FICKER
Unterschrift des Erfinders	Inventor's signature	
Datum	Date	10.8.05
Wohnsitz	Residence	Hans-Geiger-Straße 28 DE-91052 Erlangen, Germany
Staatsangehörigkeit	Citizenship	German
Postanschrift	Post Office Address	same
Vor- und Zuname des zweiten Miterfinders (falls zutreffend)	Full name of second joint inventor, if any	Walter FIX
Unterschrift des zweiten Erfinders	Second Inventor's signature	
Datum	Date	17.8.05
Wohnsitz	Residence	Rötenackerstraße 7 90427 Nürnberg, Germany
Staatsangehörigkeit	Citizenship	German
Postanschrift	Post Office Address	same

(Im Falle dritter und weiterer Miterfinder sind die entsprechenden Informationen und Unterschriften hinzuzufügen.)

(Supply similar information and signature for third and subsequent joint inventors.)



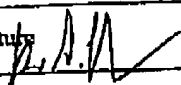
# BEST AVAILABLE COPY

Nov-21-06

13:01

From-Carella Byrne Bain Gilfillan

T-517 P.009/009 F-317

<b>Vor- und Zuname des einzigen oder ersten Erfinders</b>	Full name of third inventor <b>Andreas ULLMANN</b>
<b>Unterschrift des Erfinders</b> <b>Datum</b>	<b>Inventor's signature</b>  <b>Date</b> 17.08.2005
<b>Wohnsitz</b>	<b>Residence</b> Mondstr. 17a 90513 Zirndorf, Germany
<b>Staatsangehörigkeit</b>	<b>Citizenship</b> German
<b>Postanschrift</b>	<b>Post Office Address</b> same
<b>Vor- und Zuname des zweiten Miterfinders (falls zutreffend)</b>	<b>Full name of fourth inventor, if any</b>
<b>Unterschrift des zweiten Erfinders</b> <b>Datum</b>	<b>Inventor's signature</b> <b>Date</b>
<b>Wohnsitz</b>	<b>Residence</b>
<b>Staatsangehörigkeit</b>	<b>Citizenship</b>
<b>Postanschrift</b>	<b>Post Office Address</b>

<b>Vor- und Zuname des einzigen oder ersten Erfinders</b>	Full name of fifth inventor, if any
<b>Unterschrift des Erfinders</b> <b>Datum</b>	<b>Inventor's signature</b> <b>Date</b>
<b>Wohnsitz</b>	<b>Residence</b>
<b>Staatsangehörigkeit</b>	<b>Citizenship</b>
<b>Postanschrift</b>	<b>Post Office Address</b>
<b>Vor- und Zuname des zweiten Miterfinders (falls zutreffend)</b>	<b>Full name of sixth inventor, if any</b>
<b>Unterschrift des zweiten Erfinders</b> <b>Datum</b>	<b>Inventor's signature</b> <b>Date</b>
<b>Wohnsitz</b>	<b>Residence</b>
<b>Staatsangehörigkeit</b>	<b>Citizenship</b>
<b>Postanschrift</b>	<b>Post Office Address</b>

(Im Falle dritter und weiterer Miterfinder sind die entsprechenden Informationen und Unterschriften hinzuzufügen.)

(Supply similar information and signature for third and subsequent joint inventors.)

Page 4 of 4